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To	Company	Fax Number	Phone Number
1. Mr. Hiroyuki Morota	Itoh International Patent Office	011-3 5424-2527	<b>RECEIVED CENTRAL FAX CENTER</b>
<b>Date</b>		<b>Client/Matter Number</b>	
January 5, 2005		FUJI 18.846 (100794-09746)	
<b>From</b>		<b>Attorney Number</b>	
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**JAN 04 2006**

January 4, 2006

**Via Facsimile and Confirmation by Mail**

Mr. Hiroyuki Morota  
Itoh International Patent Office  
32<sup>nd</sup> Floor, Yebisu Garden Place Tower  
20-3 Ebisu 4-chome, Shibuya-ku  
Tokyo 150-6032  
Japan

Re: U.S. Patent Application 09/904,688  
Filed: July 13, 2001  
Data Transmission Method and Transmission Apparatus  
Your Ref.: 01025  
Our Ref.: FUJI 18.846 (100794-09746)

Dear Mr. Morota:

Enclosed is a copy of a *final* Office Action dated November 28, 2005, received from the U.S. Patent and Trademark Office in the above referenced patent application. We apologize for the late reporting and will pay for a first month extension of time should that be required.

The Examiner has maintained the allowability of claims 11 and 12, however, the Examiner has also maintained the rejection of claims 1-10. The Examiner was not persuaded by the arguments presented in the previous response. In particular the Examiner believes Lechleider describes the storing of the data into buffers without detecting a header part of the data. The Examiner on page 11 responds to our argument by simply stating that the data is stored into available output buffers without implying any information from the header part of the data. Although not specifically cited by the Examiner, column 13, lines 5-9 describe that the transmitter switch 150 merely connects to any output buffer that is empty. The Examiner may believe this teaches storing into the output buffers without detecting a header part of the data. Please let us know if we can distinguish this portion of the reference from the cited invention.

In reviewing applicant's claim 3 we specifically disagree that the reference shows that the output buffers include a plurality of buffers for each priority type. The Examiner points to column 9, lines 37-67 of Lechleider. However, a review of this section discloses that the reference describes in column 9, line 58 through column 10, line 4 that the buffers being describes as used for a priority schemes are related to the input buffers and not the output buffers as claimed by applicant. A similar argument may be made for claims 4-5.

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January 4, 2006  
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Please let us know if you would like us to prepare a draft response for your review. As this is a final Office Action the Examiner may decline to enter any further claim amendments which the Examiner believes would require further consideration and/or search.

Please review the Office Action and let us have your detailed comments with respect to the differences between the subject matter defined in the rejected claims and the prior art cited by the Examiner. Please give us any information as to, for example, why the combination of the art suggested by the Examiner could not be made or why a person of ordinary skill in the art would not make such a combination.

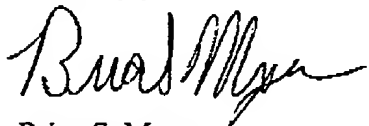
In view of the continuing during of disclosure, please inform us promptly of any additional prior art which is material to this case and has come to your attention so that we may cite this art to the U.S. Patent and Trademark Office as required by disclosure rules.

Kindly provide us with your instructions and comments at your earliest convenience, keeping in mind that a response to the Office Action is due on or before **February 28, 2006**. Extensions of time, not exceeding three months, are available upon payment of a government fee that increases on a monthly basis.

**Kindly confirm receipt of correspondence by return facsimile.**

Our debit note in connection with this matter is enclosed.

Sincerely yours,



Brian S. Myers

BSM:fd  
Enclosures



# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/904,688	07/13/2001	Yoshinobu Takagi	FUJI 18.846	5601
26304	7590	11/28/2005	EXAMINER	
KATTEN MUCHIN ROSENMAN LLP			HO. DUC CHI	
575 MADISON AVENUE			ART UNIT	
NEW YORK, NY 10022-2585			PAPER NUMBER	
			2665	

DEC - 1 2005

DATE MAILED: 11/28/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

2/28/06  
 3/28/06  
 4/28/06  
 5/28/06

Final o/A

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	09/904,688	TAKAGI ET AL.	
	Examiner	Art Unit	
	Duc C. Ho	2665	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.135(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 30 September 2005.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-10 is/are rejected.
- 7) ☒ Claim(s) 11-12 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 10-12-05
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other \_\_\_\_\_

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***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103© and potential 35 U.S.C. 102(f) or (g) prior art under 35 U.S.C. 103(a).

3. Claims 1-5 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chiussi et al. (US 5,689,505-in record), hereinafter referred to as Chiussi, in view of Timbs (US 5,878,585-in record), and further in view of Lechleider (US 6,359,883).

Regarding claim 2, Chiussi discloses buffering of multicast cells in switching networks. The ATM is a packet-oriented transfer mode which uses asynchronous time division multiplexing techniques.

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*an input port part having a plurality of input ports (the input port unit 110-fig. 1 having six input port cards 0-5, see col. 2, lines 37-61);*

*an output window part having a plurality of buffers (the output port unit 150-fig. 1 having six output port cards 0-5, wherein each card has an ABM (ATM buffer manager) for controlling output buffers sub 0-31, see col. 2, lines 49-51);*

*a switch part making connections between the plurality of input ports and the plurality of buffers (a switch fabric 130-fig. 1 for connection between the plurality of input ports and the plurality of buffers);*

*a selection control circuit (the switch fabric 130-fig. 1 has a switch module ASX as a selection control unit) controlling the switch port so that data from the plurality of input ports are stored in buffers that have available area among the plurality of buffers (The ASX module-fig. 3 has the circuit 305 and the backpressure 308 for interconnecting input and output ports, see col. 3, lines 23-42) in accordance with data storage states of the plurality of buffers ( ATM cells received from the input ports are to be processed through stages, see steps 1401-1411, fig .14, and to be stored in the egress queue of the output port card (step 1409). The egress queuing of the output port card uses mechanisms including the egress pointer memory 1012, egress cell memory 1025 of FIG. 10, egress support bitmaps, and backpressure bitmap for storing the cells in accordance to the available buffers and to the backpressure status of the egress queue at the output port card, see col. 10-line 49 to col. 14-line 10)*

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Chiussi, however, does not disclose expressly (1) a time division multiplexing part multiplexing the data read from the plurality of buffers in time division multiplexing for transmission, and (2) without detecting a head part of the data.

Timbs discloses method and apparatus for converting data streams in a cell based communications system.

Referring to the packet processing unit for processing cells for transmission in figure 10. The processor 701 is provisioned to operate on a synchronous span line multiplexing each channel into and outgoing TDM stream with data reading from buffer 703 to fill the register 702, see col. 12, lines 25-34, and col. 11-line 19 to col. 13-line 14.

One skill in the art would recognize the advantage of using a time division multiplexing part as taught by Timbs into the system of Chiussi so that data read from the buffers would be multiplexed in time division multiplexing for transmission.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to combine Chiussi with Timbs.

The suggestion/motivation for doing so would have been to provide multiplexed data for time division multiplexing for transmission.

Lechleider discloses reducing the variability of the data rates of high-rate data streams in order to communicate such streams over a low-rate channel of fixed capacity. Referring to figure 5, the outputs of input buffers 121, ..., 123 are switched to an array of output buffers 161, ..., 164, under the guidance of controller 130, see col. 12, lines 6-53. In other words, data from the plurality of input buffers are caused to be



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stored into output buffers without employing any information from the header part of the data for such transmission (corresponding to (2)).

One skill in the art would recognize the advantage of using a mechanism for transmission of data as taught by Lechleider into the combination system of Chiussi and Timbs so that data could be read from the inputs to the output buffers, without employing any information from a header part of the data, and to be multiplexed in time division multiplexing for transmission.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to combine Chiussi and Timbs with Lechleider.

The suggestion/motivation for doing so would have been to reduce delay time associated with reading headers of data packets for transmission.

Therefore, it would have been obvious to combine Lechleider with Chiussi and Timbs to obtain the invention as specified in claim 2.

Regarding claim 1, the claim has similar limitations as claim 2. Therefore, it is rejected under Chiussi-Timbs for the same reasons set forth in the rejection of claims 2.

Regarding claim 3, Chiussi and Timbs disclose all claimed limitations, except (1) the output window part includes a plurality of buffer for each of priority types; and (2) the selection control circuit controls the switch part to cause the data from the plurality of input ports to be stored in a buffer which is included in the plurality of buffers and has an available area in accordance with storage states of the plurality of buffers for each of the priority types.

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Lechleider discloses that priority can be established in different data streams (with different types of data), and the buffers can be used for storage in accordance with the priority schemes, such that data can be switched by the controller 130-fig. 5, and stored in the output buffers-fig. 5 in accordance with the specified schemes, and indication of the buffer's fullness, see col. 9, lines 37-67 (corresponding to (1) and (2)).

One skill in the art would recognize the advantage of using a priority schemes that can be established in the data streams and in the buffers as taught by Lechleider into the system of Chiussi and Timbs such that the buffers can be used for temporary storage in accordance to a priority scheme to accommodate different levels of data rates, data types, and data transfer demands for transmission.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to combine Chiussi and Timbs with Lechleider.

The suggestion/motivation for doing so would have been to accommodate different levels of data rates and data transfer demands for data transmission thereby preventing overflow problem at the buffer stage.

Therefore, it would have been obvious to combine Lechleider with Chiussi and Timbs to obtain the invention as specified in claim 3.

Regarding claim 4, please see the rejection of claims 2-3. In Lechleider, the indication of a buffer's fullness is equivalent to the claimed limitation "storage states of the plurality of buffer".

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Regarding claim 5, please see the rejection of claim 3. In Lechleider, a priority scheme is established for each data stream. To be interpreted broadly, a priority scheme can also be established for each of data types.

4. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chiussi and Timbs, in view of Lechleider, and further in view of Azizoglu et al.(US 6,430,201), hereinafter referred to as Azizoglu.

Regarding claim 10, Chiussi, Timbs, Lechleider disclose all claimed limitations, except an 8b/10b conversion part the converts multiplexed data from the time division multiplexing part into data having an 8b/10b conversion format for transmission.

Azizoglu discloses method and apparatus for transporting Gigabit Ethernet and fiber channel signals in wavelength-division multiplexed systems. Referring to figure 3 of Azizoglu, a transmitter decodes the 8b/10b encoded GbE/FC signals to reduce their respective signaling rates to no greater than the payload data rate of an OC-48 signal used on the link, see col. 4line 64 to col. 6-line 3.

One skill in the art would recognize the advantage of using a 8b/10b converter as taught by Azizoglu into the system of Chiussi, Timbs, and Lechleider to achieve DC balance and "run length limiting".

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to combine Chiussi, Timbs, Lechleider with Azizoglu.

The suggestion/motivation for doing so would have been to achieve DC balance and "run length limiting", i.e., providing a minimum rate of signaling transitions in the

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data stream to ensure adequate clock recovery at a receiver from the transmission of the time division multiplexed data.

Therefore, it would have been obvious to combine Azizuglo with Chiussi, Timbs and Lechleider to obtain the invention as specified in claim 10.

5. Claims 6-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chiussi and Timbs, in view of Lechleider, and further in view of Roy et al. (US 6,646,983-in record), hereinafter referred to as Roy.

Regarding claim 6, Chiussi, Timbs, and Lechleider disclose all claimed limitations, except the data input to the input port part include an IP packet.

Roy discloses a network switch, which supports TDM, ATM, and variable length packet traffic and include automatic fault/congestion correction. Referring to figure 1, where ATM and IP packets transported in an SPE of the port processor 10-fig. 1, see col. 5, lines 18-46.

One skill in the art would recognize the advantage of having IP packet as the data input to the input ports of the system of Chiussi, Timber, and Lechleider in order to accommodate different data types and applications that come from sources such as the Internet

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to combine Chiussi, Timbs, Lechleider and Roy.

The suggestion/motivation for doing so would have been to accommodate different data types and applications that come from sources such as the Internet.

Therefore, it would have been obvious to combine Roy with Chiussi, Timbs, and Lechleider to obtain the invention as specified in claim 6.

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Regarding claim 7, Chiussi, Timbs, and Lechleider disclose all claimed limitations, except the input port part comprises label add parts, which add labels to the plurality of input ports.

Roy discloses a network switch, which supports TDM, ATM, and variable length packet traffic and include automatic fault/congestion correction. Referring to figure 2B, the switch element 100 includes a JTAG interface 170 for adding tag to data that processed through the input ports.

One skill in the art would recognize the advantage of having a mechanism for tagging data as they are being processed to the input ports of the system of Chiussi, Timber, and Lechleider in order to distinguish the different data types and applications that are transmitted from different sources including the Internet's applications.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to combine Roy with Chiussi, Timbs, and Lechleider.

The suggestion/motivation for doing so would have been to distinguish the different data types and applications that are transmitted from different sources including the Internet's applications.

Therefore, it would have been obvious to combine Roy with Chiussi, Timber, and Lechleider to obtain the invention as specified in claim 7.

Regarding claims 8, and 9, Chiussi, Timbs, and Lechleider disclose all claimed limitations, except the output port part comprises a SONET frame assembly parts which assemble data read from the plurality of buffers into respective SONET frames, which are then supplied to the time division multiplexing part.

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Roy discloses a network switch, which supports TDM, ATM, and variable length packet traffic and include automatic fault/congestion correction. Referring to figure 1, the egress side of the SONET interface includes a SONET framer and TOH generator 40-fig. 1 for assembling SONET input into respective output SONET frames for transmission, see col. 5, lines 18-46.

One skill in the art would recognize the advantage of having a SONET framer for assembling SONET input from the ingress into respective output SONET frames at the egress side and supplied to the Time division multiplexing part for transmission.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to combine Chiussi, Timbs, Lechleider and Roy.

The suggestion/motivation for doing so would have been to having a SONET framer for assembling SONET input from the ingress into respective output SONET frames at the egress side, and supplying to the TDM multiplexing for transmission.

Therefore, it would have been obvious to combine Roy with Chiussi, Timbs, and Lechleider to obtain the invention as specified in claims 8-9.

#### ***Allowable Subject Matter***

6. Claims 11, and 12 are objected to as being independent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### ***Response to Arguments***

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7. Applicant's arguments filed 9-30-05 have been fully considered but they are not persuasive. Referring to figure 5 in Lechleider, the outputs of input buffers 121, ..., 123 are switched to an array of output buffers 161, ..., 164, under the guidance of controller 130, see col. 12, lines 6-53. In other words, data from the plurality of input buffers are caused to be stored into available output buffers without employing any information from the header part of the data for such transmission, which reads on the claimed limitation "without detecting a head part of the data".

### ***Conclusion***

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Duc Ho whose telephone number is (571) 272-3147. The examiner can normally be reached on Monday through Friday from 7:00 am to 3:30 pm.

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If attempt to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Huy Vu, can be reached on (571) 272-3155.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (571) 272-2600.

The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

10. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Patent Examiner



Duc Ho

11-22-05



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## U.S. DEPARTMENT OF COMMERCE

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application No. : 09/904,688

Filing Date :

First Named Inventor : Yoshinobu TAKAGI

Group Art Unit : 2665

Examiner Name :

Attorney Docket No. : FUJI 18.846

Sheet 1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
DH		5,499,238		SHON ET AL.	03-12-1996	
DH		5,280,479		MARY ET AL.	01-18-1994	
DH		5,991,812		SRINIVASAN ET AL.	11-23-1999	

## FOREIGN DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
DH		10-145367	JP	NEC ENG LTD.	05-29-1998	
DH		199 03 366	DE	SIEMENS AG	08-17-2000	
DH		WO 01/20947	PCT	SIEMENS AKTIENGESELLSCHAFT	07-25-2000	

## Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the work (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check her if English language translation attached
DH		European Search Report dated August 18, 2005	
DH		SHAOWEN SONG. Multiprocessor Parallel Routing for the Quality of Service Enabled Internet. Parallel Computing in Electrical Engineering, August 27, 2000, pages 160-164	
DH		James Manchester et al. IP over SONET. IEEE Communications Magazine vol. 36, no. 5, May 1998, pages 136-142	
Examiner Signature		<i>Luchito</i>	Date Considered 11-22-05

Examiner Initials: If reference considered, whether or not citation is in conformance with MPEP 202. Draw a line through citation if not in conformance and not considered. Include copy of this form with non communication to applicant. Unique citation designation number. <sup>1</sup> See Appendix B of U.S. Patent Documents. <sup>2</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For separate patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST-1 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached. <sup>6</sup> Bureau Name Symbols: This form is submitted to take 3 hours to complete. Time with very depending upon the needs of the individual case. Any comments on the content of this form are required to complete the form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it carries a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patent, Washington, DC 20231.